

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <input type="text"/> 12964	2. Fiscal Year Covered From: <input type="text"/> / <input type="text"/> / <input type="text"/> Through: <input type="text"/> / <input type="text"/> / <input type="text"/> 1 / 1 / 2004 Through: 12 / 31 / 2004
3. Name and address of person filing. Name <input type="text"/> <input type="text"/> S <input type="text"/> ROBERT DUFFY P.O. Box, Bldg., Room No., if any <input type="text"/> Street <input type="text"/> 853 Upton Road City <input type="text"/> Aston State <input type="text"/> ZIP Code + 4 <input type="text"/> Pennsylvania 19014	4. Name, file number, and address of labor organization. Name <input type="text"/> INT'L UNION OF ELVATOR CONSTR. LU UN. NO. 5 Labor Organization File Number <input type="text"/> 015-670 P.O. Box, Building and Room Number, if any <input type="text"/> Street <input type="text"/> 12273 Townsend Road City <input type="text"/> Philadelphia State <input type="text"/> ZIP Code + 4 <input type="text"/> Pennsylvania 19154-1204
5. Position in labor organization. <input type="text"/> EXECUTIVE BOARD MEMBER	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name <input type="text"/> Trade Name, if any: <input type="text"/> P.O. Box, Bldg., Room No., if any <input type="text"/> Street <input type="text"/> City <input type="text"/> State <input type="text"/> ZIP Code + 4 <input type="text"/>	7.a. Nature of Interest, Transaction, or Income. <input type="text"/> 7.b. Amount. <input type="text"/>

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)		
Signed <u>Robert S. Duffy</u>	On <u>8-15-05</u> Date	<u>215-676-2555</u> Telephone Number

Name of Person Filing ROBERT DUFFY	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <u>National Elevator Industry Educational Prog.</u></p> <p>Trade Name, if any: <u></u></p> <p>P.O. Box, Bldg., Room No., if any <u></u></p> <p>Street <u>Eleven Larsen Way</u></p> <p>City <u>Attleboro Falls</u></p> <p>State <u>Massachusetts</u> ZIP Code + 4 <u>02763-1068</u></p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>						
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name <u></u></p> <p>Trade Name, if any: <u></u></p> <p>P.O. Box, Bldg., Room No., if any <u></u></p> <p>Street <u></u></p> <p>City <u></u></p> <p>State <u></u> ZIP Code + 4 <u></u></p>	<p>11.a. Nature of such dealing.</p> <div style="border: 1px solid black; height: 80px; padding: 5px;">See attached.</div> <p>11.b. Approximate dollar value of such dealing. <u></u></p> <p>12.a. Nature of interest held or income received.</p> <table style="width:100%"><tr><td>Salary</td><td style="text-align: right;">\$7,600</td></tr><tr><td>2004 Part Time Instructor</td><td></td></tr><tr><td>Reimbursed expenses</td><td style="text-align: right;">227</td></tr></table> <p>12.b. Amount. <u>\$7,827</u></p>	Salary	\$7,600	2004 Part Time Instructor		Reimbursed expenses	227
Salary	\$7,600						
2004 Part Time Instructor							
Reimbursed expenses	227						

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name <u></u></p> <p>Trade Name, if any: <u></u></p> <p>P.O. Box, Bldg., Room No., if any <u></u></p> <p>Street <u></u></p> <p>City <u></u></p> <p>State <u></u> ZIP Code + 4 <u></u></p>	<p>14.a. Nature of payment.</p> <div style="border: 1px solid black; height: 150px;"></div>
<p>13.b. Is the Business an Employer <input type="checkbox"/> or Consultant <input type="checkbox"/> ?</p>	<p>14.b. Amount of payment. <u></u></p>

LM-30 Attachment

Name: Robert S. Duffy
LM-30 File Number: To be assigned

Ending date of report period: 12/31/04

LM-30 Items Number

- 8, Per direction provided by U.S. DOL OLMS, Part B includes reporting of transaction(s)
9, including reimbursement of valid expenses by a trust in which the labor organization is
11a interested as though the trust was a business. This guidance provides a trust's dealings with
and, a labor organization include the trust's receiving contributions from employers obligated to
11b fund the trust per collective bargaining agreements negotiated by the labor organization.
While the guidance is unclear, other transactions may also be deemed to constitute dealings
with the labor organization, trusts, or employers reportable in 11b. Accordingly, the plan is
listed here as though it is a business that has dealings with the labor organization, but no
amount is reported in 11b and the total amount of all such dealings is not ascertainable. Also
note, the DOL software for preparing Form LM-30 does not permit, in part B item 9,
selecting more than one answer.